

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) Corporate Identification Number (CIN) of the company

L15421UP1932PLC022174

Pre-fill

Global Location Number (GLN) of the company

Permanent Account Number (PAN) of the company

AABCT6370L

(ii) (a) Name of the company

TRIVENI ENGINEERING AND IN

(b) Registered office address

A-44, Hosiery Complex, Phase-II Extension, NA
Noida
Gautam Buddha Nagar
Uttar Pradesh
201305

(c) e-mail ID of the company

geeta@ho.trivenigroup.com

(d) Telephone number with STD code

01204308000

(e) Website

www.trivenigroup.com

(iii) Date of Incorporation

27/07/1932

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

L72400TG2017PLC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32, Financial District, N
anakramguda, Serili ngampally NA

(vii) Financial year From date 01/04/2022 (DD/MM/YYYY) To date 31/03/2023 (DD/MM/YYYY)

(viii) Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2023

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

4

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C1	Food, beverages and tobacco products	58.82
2	C	Manufacturing	C5	Coke and refined petroleum products	29.58
3	C	Manufacturing	C10	Electrical equipment, General Purpose and Special purpose Machinery & equipment,	3.57
4	E	Water supply, sewerage and waste management	E3	Waste collection, treatment and disposal activities, materials recovery and Other	5.53

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

11

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	TRIVENI ENGINEERING LIMITED	U29119UP2006PLC032060	Subsidiary	100
2	TRIVENI ENERGY SYSTEMS LIMITED	U40102UP2008PLC034648	Subsidiary	100
3	TRIVENI ENTERTAINMENT LIMITED	U52110DL1986PLC024603	Subsidiary	100
4	TRIVENI SUGAR LIMITED	U15122UP2014PLC063454	Subsidiary	100
5	SVASTIDA PROJECTS LIMITED	U41000UP2014PLC063455	Subsidiary	100
6	TRIVENI INDUSTRIES LIMITED	U15122UP2015PLC072202	Subsidiary	100
7	MATHURA WASTEWATER MANAGEMENT	U41000UP2018PTC105231	Subsidiary	100
8	TRIVENI FOUNDATION	U85300UP2020NPL130021	Subsidiary	100
9	GAURANGI ENTERPRISES LIMITED	U70100UP2020PLC130228	Subsidiary	100
10	UNITED SHIPPERS AND DREDGERS	U74899DL1970PLC005318	Subsidiary	100
11	PALIZLD PRIVATE LIMITED	U41000UP2021PTC148563	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	500,000,000	218,897,968	218,897,968	218,897,968
Total amount of equity shares (in Rupees)	500,000,000	218,897,968	218,897,968	218,897,968

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	500,000,000	218,897,968	218,897,968	218,897,968
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	500,000,000	218,897,968	218,897,968	218,897,968

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	20,000,000	0	0	0
Total amount of preference shares (in rupees)	200,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	20,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	200,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	114,708	241,640,402	241755110	241,755,110	241,755,110	
Increase during the year	0	0	0	39,636	39,636	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0

x. Others, specify				39,636	39,636	
Conversion of Physical Shares to Demat						
Decrease during the year	0	22,857,142	22857142	22,896,778	22,896,778	0
i. Buy-back of shares	0	22,857,142	22857142	22,857,142	22,857,142	
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				39,636	39,636	
Conversion of Physical Shares to Demat						
At the end of the year	114,708	218,783,260	218897968	218,897,968	218,897,968	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE256C01024

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

- Nil
 [Details being provided in a CD/Digital Media] Yes No Not Applicable
- Separate sheet attached for details of transfers Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

63,069,029,503

(ii) Net worth of the Company

26,222,267,501

VI. (a) SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	44,444,564	20.3	0	
	(ii) Non-resident Indian (NRI)	18,258,411	8.34	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	70,788,187	32.34	0	
10.	Others	0	0	0	
	Total	133,491,162	60.98	0	0

Total number of shareholders (promoters)

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(b) SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	43,015,757	19.65	0	
	(ii) Non-resident Indian (NRI)	4,056,741	1.85	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	1,221,038	0.56	0	
4.	Banks	50	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	10,225,522	4.67	0	
7.	Mutual funds	16,580,658	7.57	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	10,169,371	4.65	0	
10.	Others Clearing members, Trust, IE	137,669	0.06	0	

	Total	85,406,806	39.01	0	0
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Total number of shareholders (other than promoters) 90,772

Total number of shareholders (Promoters+Public/
Other than promoters) 90,779

(c) Details of Foreign institutional investors' (FIIs) holding shares of the company

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
POINT BREAK CAPITAL +	Ogier Global (Cayman) Limited 89 +			1,607,681	0.73
BNP PARIBAS ARBITRA +	1 RUE LAFFITTE PARIS			1,398,067	0.64
EMERGING MARKETS +	6300 Bee Cave Road Building One +			697,580	0.32
DIMENSIONAL EMERG +	6300 Bee Cave Road Building One +			479,523	0.22
SOCIETE GENERALE	29 BOULEVARD HAUSSMANN PAR +			372,923	0.17
STATE STREET EMERG +	ONE LINCOLN STREET BOSTON M +			340,252	0.16
PENOBSCOT LIMITED +	8803 AIRPORT RD MIDDLETON WI +			309,649	0.14
BNS ASIA LIMITED	ONE RAFFLES QUAY NORTH TOWE +			303,861	0.14
CALIFORNIA STATE TE +	100 WATERFRONT PLACE WEST SA +			293,363	0.13
CITY OF NEW YORK GF +	ONE CENTRE STREET NEW YORK N +			275,787	0.13
THE EMERGING MARK +	6300 Bee Cave Road Building One +			265,188	0.12
UNIVERSAL-INVESTME +	THEODOR-HEUSS-ALLE 70 60486 F +			237,333	0.11
EMERGING MARKETS +	400 HOWARD STREET SAN FRANC +			234,932	0.11
AEGIS INVESTMENT FL +	4th Floor 19 Bank Street Cybercity +			225,202	0.1
STATE STREET GLOBA +	ONE LINCOLN STREET BOSTON M +			194,080	0.09
MCKINLEY CAPITAL M +	3403 LEVEL 34 AL MAQAM TOWE +			184,810	0.08
EMERGING MARKETS +	400 HOWARD STREET SAN FRANC +			167,326	0.08
ABU DHABI INVESTME +	211 CORNICHE STREET PO BOX 36 +			157,104	0.07
CALIFORNIA STATE TE +	100 WATERFRONT PLACE WEST SA +			135,454	0.06
WISDOMTREE EMERGI +	245 PARK AVENUE 35TH FLOOR N +			117,189	0.05

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
HILLSDALE GLOBAL S +	FIRST CANADIAN PLACE 1 100 KIN +			100,500	0.05
GLOBAL ALL CAP ALPI +	BlackRock 400 Howard Street San +			94,585	0.04
FIRST COMMERCIAL B +	NO 30 SEC 1 CHUNG KING S ROAD +			89,903	0.04
ISHARES MSCI INDIA S +	400 HOWARD STREET SAN FRANC +			83,077	0.04
UBS FUND MANAGEM +	AESCHENPLATZ 6			82,528	0.04

VII. NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	95,164	90,772
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	2	1	2	1	14.05	5.93
B. Non-Promoter	0	3	0	3	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0

Total	2	4	2	4	14.05	5.93
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Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Dhruv Manmohan Sawhney	00102999	Managing Director	18,258,411	
Tarun Sawhney	00382878	Managing Director	12,494,259	
Nikhil Sawhney	00029028	Director	12,986,575	
Homai Ardeshir Darwalla	00365880	Director	0	
Sudipto Sarkar	00048279	Director	0	
Jitendra Kumar Dadoo	02481702	Director	0	
Suresh Taneja	AABPT7938B	CFO	11,805	
Geeta Bhalla	AAHPB3256E	Company Secretary	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Extra Ordinary General Meeting	06/06/2022	94,343	74	0.3
Annual General Meeting	24/08/2022	98,731	131	68.98

B. BOARD MEETINGS

Number of meetings held

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	09/05/2022	6	6	100
2	14/05/2022	6	6	100
3	03/08/2022	6	6	100
4	05/09/2022	6	6	100
5	05/11/2022	6	6	100
6	24/01/2023	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

11

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	09/05/2022	3	3	100
2	Audit Committee	14/05/2022	3	3	100
3	Audit Committee	13/07/2022	3	3	100
4	Audit Committee	02/08/2022	3	3	100
5	Audit Committee	05/11/2022	3	3	100
6	Audit Committee	24/01/2023	3	3	100
7	Nomination and Remuneration	14/05/2022	3	3	100
8	Stakeholders'	24/01/2023	3	3	100
9	Corporate Social Responsibility	14/05/2022	3	3	100
10	Risk Management	08/09/2022	4	4	100

D. ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	

1	Dhruv Manmo	6	6	100	2	2	100	
2	Tarun Sawhne	6	6	100	10	10	100	
3	Nikhil Sawhne	6	6	100	5	5	100	
4	Homai Ardesh	6	6	100	8	8	100	
5	Sudipto Sarka	6	6	100	8	8	100	
6	Jitendra Kuma	6	6	100	2	2	100	

X. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Dhruv Manmohan S	Chairman and M	0	0	0	0	0
2	Tarun Sawhney	Vice Chairman a	35,017,500	42,500,000	0	9,658,175	87,175,675
	Total		35,017,500	42,500,000	0	9,658,175	87,175,675

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Suresh Taneja	Group CFO	25,051,200	0	0	1,824,302	26,875,502
2	Geeta Bhalla	Company Secre	10,829,200	0	0	533,480	11,362,680
	Total		35,880,400	0	0	2,357,782	38,238,182

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Homai Ardeshir Dar	Director	0	1,900,000	0	1,425,000	3,325,000
2	Nikhil Sawhney	Director	0	5,500,000	0	970,000	6,470,000
3	Sudipto Sarkar	Director	0	1,800,000	0	1,450,000	3,250,000
4	Jitendra Kumar Dac	Director	0	1,500,000	0	850,000	2,350,000
	Total		0	10,700,000	0	4,695,000	15,395,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Suresh Gupta

Whether associate or fellow

Associate Fellow

Certificate of practice number

5204

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

List of attachments

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

TRIVENI ENGINEERING AND INDUSTRIES LIMITED

VI(C) Details of Foreign institutional investors' (FIIs) holding shares of the Company

(Contd...)

SLNO	NAME/JOINT NAME(S)	ADDRESS	HOLDING	% TO EQT
26	FLORIDA RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT , LLC	1801 HERMITAGE BLVD SUITE 100 TALLAHASSEE FLORIDA	82122	0.04
27	ISHARES CORE MSCI EM IMI UCITS ETF	JP MORGAN HOUSE IFSC DUBLIN 1	78022	0.04
28	1729 CAPITAL	C/O GFIN CORPORATE SERVICES LTD 6TH FLOOR GFIN TOWER 42 HOTEL STREET CYBERCITY EBENE	72830	0.03
29	DOVETAIL GLOBAL FUND PCC ASAS INDIA MANUFACTURING FUND	4TH FLOOR, 19 BANK STREET CYBERCITY EBENE, MAURITIUS	67500	0.03
30	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL C AP INDEX FUND- NON LENDING	50 S LaSalle Street Chicago Illinois	67425	0.03
31	WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.	C/O CIM GLOBAL BUSINESS 33 EDITH CAVELL STREET PORT LOUIS	66639	0.03
32	DOVETAIL INDIA FUND	4TH FLOOR 19 BANK STREET CYBERCITY EBENE REPUBLIC OF MAURITIUS	65327	0.03
33	SPDR S&P EMERGING MARKETS SMALL CAP ETF	ONE LINCOLN STREET BOSTON MA	64091	0.03
34	BVK PERSONALVORSORGE DES KANTONS ZURICH	STAMPFENBACHSTRASSE 63 8006 ZURICH	55614	0.03
35	STATE STREET GLOBAL ADVISORS LUXEMBOURG SICAV - ST ATE STREET EMERGING MARKETS SMALL CAP ESG SCREENED EQUITY FUND	49 AVENUE JF KENNEDY	55399	0.03

36	AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKET S EQUITY ETF	4500 MAIN STREET KANSAS CITY MISSOURI	52908	0.02
37	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	730 THIRD AVENUE NEW YORK NEW YORK	52366	0.02
38	ABU DHABI INVESTMENT AUTHORITY - XENON	211 CORNICHE STREET P O BOX 3600 ABU DHABI	48755	0.02
39	NEW YORK STATE COMMON RETIREMENT FUND	OFFICE OF THE STATE COMPTROLLER 110 STATE STREET 14TH FLOOR ALBANY NY	47567	0.02
40	CITRINE FUND LIMITED	4TH FLOOR RAFFLES TOWER 19 CYBERCITY EBENE	45000	0.02
41	ISHARES MSCI EMERGING MARKETS SMALL-CAP ETF	400 HOWARD STREET SAN FRANCISCO CA 94105	42300	0.02
42	EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	6300 Bee Cave Road Building One Austin Texas 78746 USA	40566	0.02
43	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	6300 Bee Cave Road Building One Austin Texas 78746 USA	37325	0.02
44	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	20 Triton Street Regents Place London NW1 3BF U K	35764	0.02
45	ISHARES MSCI GLOBAL AGRICULTURE PRODUCERS ETF	400 HOWARD STREET SAN FRANCISCO CA 94105	34735	0.02
46	AFRINDIA	C/o Orangefield (Mauritius) Limited 3rd Floor 355 NeXTeracom Tower 1 Cybercity Ebene	34580	0.02
47	DIMENSIONAL WORLD EX U.S. CORE EQUITY 2 ETF OF DIMENSIONAL ETF TRUST	251 Little Falls Drive New Castle County Wilmington Delaware	34418	0.02
48	EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS PLC	25/28 NORTH WALL QUAY DUBLIN 1	34187	0.02

49	ALASKA PERMANENT FUND	801 WEST 10TH STREET JUNEAU ALASKA	32416	0.01
50	CC&L Q EMERGING MARKETS EQUITY FUND	1111 WEST GEORGIA ST SUITE 2200 VANCOUVER B C CANADA	30855	0.01
51	EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	25/28 NORTH WALL QUAY DUBLIN I	29616	0.01
52	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	ONE LINCOLN STREET BOSTON MA	25047	0.01
53	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	277 EAST TOWN STREET COLUMBUS OH 43215 USA	24022	0.01
54	GLOBAL ALPHA TILTS FUND B	BlackRock 400 Howard Street San Francisco California	22172	0.01
55	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	ONE LINCOLN STREET BOSTON MA	21798	0.01
56	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	360 E Second Street Suite 600 Los Angeles CA	20095	0.01
57	DFA INTERNATIONAL CORE EQUITY FUND	SUITE 1520 - 1500 WEST GEORGIA STREET VANCOUVER BRITISH COLUMBIA	19621	0.01
58	FIS GROUP COLLECTIVE INVESTMENT TRUST - FIS GROUP NON-US INTERNATIONAL SMALL CAP FUND	12 GILL STREET SUITE 2600 WOBURN MA	18600	0.01
59	ISHARES MSCI EM SMALL CAP UCITS ETF	JP MORGAN HOUSE IFSC DUBLIN 1	17186	0.01
60	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	202 West First Street Suite 500 Los Angeles California	14840	0.01
61	WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	6300 Bee Cave Road Building One Austin Texas 78746 USA	13982	0.01
62	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	25/28 NORTH WALL QUAY DUBLIN 1	13607	0.01

63	PGIM QUANT SOLUTIONS EMERGING MARKETS SMALL CAP EQUITY FUND OF THE PRUDENTIAL TRUST COMPANY COLLECTIVE TRUST	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	13000	0.01
64	AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND	4500 MAIN STREET KANSAS CITY MISSOURI	12778	0.01
65	SSGA SPDR ETFS EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	78 SIR JOHN ROGERSONS QUAY DUBLIN 2	11875	0.01
66	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B	400 HOWARD STREET SAN FRANCISCO CA 94105	11546	0.01
67	RUSSELL INVESTMENT COMPANY PLC - ACADIAN EMERGING MARKETS MANAGED VOLATILITY EQUITY UCITS - ACADIAN ASSET MANAGEMENT	78 SIR JOHN ROGERSONS QUAY DUBLIN	10959	0.01
68	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS	1111 BROADWAY SUITE 2100 OAKLAND CA	10788	0.00
69	EMERGING MARKETS TARGETED VALUE PORTFOLIO	6300 BEE CAVE ROAD BUILDING ONE	10691	0.00
70	AQR EMERGING SMALL CAP EQUITY FUND, L.P.	Suite 201 c/o Cogency Global Inc. 850 New Burton Road Dover Delaware	10613	0.00
71	STATE STREET MSCI ACWI EX USA IMI SCREENED NON-LENDING COMMON TRUST FUND	ONE LINCOLN STREET BOSTON MA	10352	0.00
72	COEUS GLOBAL OPPORTUNITIES FUND	SUITE 305 3RD FLOOR NG TOWER EBENE CYBERCITY	10000	0.00
73	PREMIER INVESTMENT FUND LIMITED	C/o DTOS Ltd 10th FLOOR RAFFLES TOWER 19 CYBERCITY EBENE	9952	0.00
74	DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS VALUE TRUST	Level 43 1 Macquarie Place Sydney NSW	9944	0.00
75	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	6300 BEE CAVE ROAD BUILDING ONE	9802	0.00

76	FUNDPARTNER SOLUTIONS (SUISSE) S.A.-RP - FONDS INS TITUTIONNEL - ACTIONS MARCHES EMERGENTS	ROUTE DES ACACIAS 60	8700	0.00
77	CC& L Q GLOBAL EQUITY FUND	1111 WEST GEORGIA STREET SUITE 2300 VANCOUVER BRITISH COLUMBIA	8410	0.00
78	DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIM ENSIONAL ETF TRUST	251 Little Falls Drive New Castle County Wilmington Delaware	8267	0.00
79	DFA INTERNATIONAL VECTOR EQUITY FUND	SUITE 1520 - 1500 WEST GEORGIA STREET VANCOUVER BRITISH COLUMBIA	7750	0.00
80	EMERGING MARKETS EX CHINA CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	Suite 820 7 St. Paul Street Baltimore Maryland	7561	0.00
81	VIRGINIA RETIREMENT SYSTEM	1200 EAST MAIN STREET RICHMOND VIRGINIA	6902	0.00
82	JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	601 CONGRESS STREET BOSTON MA 02210 2805 USA	6709	0.00
83	ISHARES CORE MSCI EMERGING MARKETS IMI INDEX ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	6591	0.00
84	CC&L Q EMERGING MARKETS EQUITY UCITS FUND, A SUB-F UND OF CONNOR, CLARK & LUNN UCITS ICAV	2nd Floor Block E Iveagh Court Harcourt Road Dublin 2 Leinster	5213	0.00
85	GLOBAL ALPHA TILTS ESG NON-LENDABLE FUND B	400 Howard Street San Francisco California	5132	0.00
86	JOHN HANCOCK VARIABLE INSURANCE TRUST EMERGING MAR KETS VALUE TRUST	601 CONGRESS STREET BOSTON MA 02210-2805	5107	0.00
87	ACTIVEALPHA CAPITAL	12th Floor Standard Chartered Tower 19 Cyber City Ebene	5000	0.00

88	QUADRATURE CAPITAL VECTOR SP LIMITED	Suite #7 Grand Pavilion Commercial Centre 802 West Bay Road P.O. Box 10250 Grand Caym	4884	0.00
89	WORLD ALLOCATION 60/40 FUND OF DIMENSIONAL FUNDS P LC	25/28 NORTH WALL QUAY DUBLIN	4243	0.00
90	COMMONWEALTH GLOBAL SHARE FUND 30	11 Harbour Street Sydney New South Wales	4127	0.00
91	UTAH STATE RETIREMENT SYSTEMS	540 East 200 South Salt Lake City Utah	3747	0.00
92	NORTHERN TRUST COLLECTIVE EMERGING MARKETS EX CHIN A INVESTABLE MARKET INDEX FUND - NON- LENDING	50 S. LaSalle Street Chicago Illinois	3672	0.00
93	CC&L Q INTERNATIONAL EQUITY FUND	1111 WEST GEORGIA STREET SUITE 2300 VANCOUVER BRITISH COLUMBIA	3221	0.00
94	NATIONAL FEDERATION OF MUTUAL AID ASSOCIATIONS FOR MUNICIPAL PERSONNEL 18464-2117	NIBAN-CHO 2 CHIYODA-KU TOKYO JAPAN	3166	0.00
95	NATIONAL FEDERATION OF MUTUAL AID ASSOCIATIONS FOR MUNICIPAL PERSONNEL 18464-2116	NIBAN-CHO 2 CHIYODA-KU TOKYO JAPAN	2933	0.00
96	CC & L Q GROUP GLOBAL EQUITY FUND	1111 WEST GEORGIA STREET SUITE 2300 VANCOUVER BRITISH COLUMBIA	1348	0.00
97	WISDOM TREE ISSUER PLC - WISDOM TREE EMERGING MARK ETS SMALLCAP DIVIDEND UCITS ETF	FITZWILTON HOUSE WILTON PLACE DUBLIN 2	1295	0.00
98	DIMENSIONAL EMERGING MARKETS SUSTAINABILITY CORE 1 ETF OF DIMENSIONAL ETF TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	1082	0.00

99	GLOBAL EX-US ALPHA TILTS FUND	400 HOWARD STREET SAN FRANCISCO CA 94105	500	0.00
100	BOFA SECURITIES EUROPE SA	51 rue La Boetie Paris	228	0.00
101	DIMENSIONAL EMERGING MARKETS CORE EQUITY 2 ETF OF DIMENSIONAL ETF TRUST	251 Little Falls Drive New Castle County Wilmington Delaware	208	0.00
102	COPTHALL MAURITIUS INVESTMENT LIMITED - NON ODI AC COUNT	C/O IQ EQ CORPORATE SERVICES (MAURITIUS) LTD 33 EDITH CAVELL STREET PORT LOUIS	30	0.00
103	EMERGING MARKETS ALPHA TILTS-ENHANCED FUND	400 HOWARD STREET SAN FRANCISCO CA 94105	2	0.00

TRIVENI ENGINEERING AND INDUSTRIES LIMITED

IX(C) COMMITTEE MEETINGS FY 2022-23 (Contd.....)

S.No.	Type of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of Members Attended	% of attendance
11	Risk Management Committee	03/03/2023	4	4	100

SURESH GUPTA & ASSOCIATES

COMPANY SECRETARIES

204, Silver Complex, A-73, Opp. Metro Pillar No. 60, Near Nirman, Vihar Metro Station,
Laxmi Nagar, Delhi-110092

Mob. 9312257002, Ph. 011-43061664, Mail: sureshguptacs@gmail.com, www.sureshgupta.in

Form No. MGT-8

*[Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]*

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of M/s **Triveni Engineering & Industries Limited** ("the Listed Company") as required to be maintained under the **Companies Act, 2013 (the Act)** and the rules made there under for the financial year ended on 31st March, 2023. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents.

We certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. Its status under the Act: Listed Company.
2. Maintenance of registers/records & making entries therein within the time prescribed therefor.
3. Filing of forms and returns as stated in the Annual Return, with the Registrar of Companies, Regional Director, Central Government, Tribunal, Court or other authorities, wherever required, within/beyond the prescribed time in accordance with the provisions of the Act.
4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed.
5. The Company has fixed record dates, wherever required.
6. The Company has not made any loan and advance to its Directors or persons or firms or Companies referred to in Section 185 of the Act.
7. All related party transactions/ contracts entered into during the financial year are in compliance with Sections 177 and 188 of the Companies Act, 2013.

Contd2

Triveni Engineering & Industries Limited 2

8. During the year, the Company has not issued and/ or allotted equity shares or other securities. There was no redemption of preference shares/ alteration or reduction of share capital/ conversion of shares. The Company has complied with the relevant provisions of the Act regarding transfer/ transmission of equity shares. However, the Company has completed the buy-back of shares approved by its Board of Directors and Shareholders on November 5, 2022 and December 11, 2022 respectively. Pursuant to the offer, the Company has bought back and extinguished 2,28,57,142 equity shares of Re. 1/- each (representing 9.45% of the equity paid-up share capital) at a price of Rs.350/- per share aggregating to about Rs.800 crore.

9. There was no instance for keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares.

10. The Board of Directors in its meeting held on May 25, 2023 has recommended for approval by shareholders in their forthcoming Annual General Meeting a dividend of 325% i.e. Re 3.25 per fully paid up equity share of Re 1/- each of the Company for the financial year ended March 31, 2023. During the year, there was no requirement for transfer of unpaid/unclaimed dividend to the Investor Education and Protection Fund (IEPF) in accordance with section 125 of the Act.

11. Signing of audited financial statement as per the provisions of Section 134 of the Act and report of Directors is as per sub - Sections (3), (4) and (5) thereof.

12. The Company has duly complied with the constitution/ appointment/ re-appointments/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them.

13. The Company has duly complied with the appointment/ re-appointment of auditors as per the provisions of Section 139 of the Act.

14. During the year, the Company has taken approvals, if required, from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act.

15. The Company has not accepted/ renewed deposits.

16. The Company has complied with provisions of the Act & Rules made there under in respect of loans & borrowings from Banks, Financial Institutions and others and the creation, modification & satisfaction of charge thereof, wherever applicable, during the financial year under review.

17. The Company has complied with provisions of the Act & Rules made there under in respect of grant of loans, making investments and providing guarantees and securities to other bodies corporate, falling under the provisions of Section 186 of the Companies Act, 2013.

18. The Company has not altered the provisions of its Memorandum and Articles of Association during period under the review.

*For Suresh Gupta & Associates
Company Secretaries*

*Suresh Gupta
(Proprietor)
FCS No.:5660, CP No.:5204
Peer Review Cert. No. 740/2020
UDIN:
Date :
Place: Noida*